

GAU-2165



Attorney Docket No. 3001 P 015

26851

PATENT

PATENT, TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Patent Application of
Kenneth Kiron and Kevin Bander

Application No. 09/840,583
Confirmation No. 4046
Filed: April 23, 2001



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) Group Art Unit: 2165
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) Examiner:
)
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For: Open End Mutual Fund Securitization Process

Commissioner For Patents
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
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Applicant submits herewith Form PTO-1449 and a copy of the references listed thereon.

Since this Information Disclosure Statement is being filed within three months of the filing date of the Application or before the mailing date of a First Office Action on the merits, whichever event occurs last (37 C.F.R. § 1.97(b)), no certification or fee is due. The Commissioner is hereby authorized to charge any deficiency in fees to Deposit Account No. 23-0280. A duplicate copy of this paper is enclosed for that purpose.

Respectfully submitted,

Dated: Aug 22, 2001

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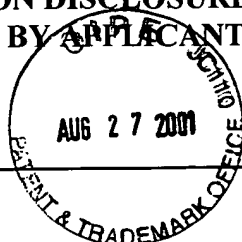
I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on Aug 23, 2001

Kathleen M. Rundquist/124362.1

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
PTO-1449**

W&W File No.: 3001 P 015
Application No.: 09/840,583
Applicant: Kiron et al.
Filing Date: April 23, 2001
Art Unit: 2165

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| | Form S-6 (EL24) for registration under the Securities Act of 1933. | |



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Examiner: _____ Date _____
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